



STATE OF WASHINGTON
DEPARTMENT OF SOCIAL AND HEALTH SERVICES
Aging and Long-Term Support Administration
PO Box 45600, Olympia, Washington 98504-5600

October 4, 2019

ALTSA: ICF/IID #2019-008
PLAN OF CORRECTION PROCESS CHANGE FOR CONDITIONS OF PARTICIPATION

Dear ICF/IID Superintendent and/or Administrator:

The Centers for Medicare and Medicaid Services (CMS) provides guidance to surveyors. Review of regulations at [42 CFR 442.15](#) states that provider agreements for ICF/IIDs would remain in effect as long as the facility remains in compliance with the Conditions of Participation (CoP). See regulations at §442.109 through §442.111.

Historically Residential Care Services (RCS) had interpreted the Standard Operating Manual, [SOM Chapter 2](#), section 2726 and 2728B to only require a Plan of Correction (POC) for standard level deficiencies cited in the Statement of Deficiencies (SOD).

After review of [SOM Chapter 2](#), section, 2734A, [SOM Chapter 3](#), section 3012 and 3040A2, consultation with CMS and consultation with the department Assistant Attorney General, it was determined the POC process should be applied to deficiencies at the CoP level as well. CoP and standard level citations both require a POC as non-compliance at the CoP level initiates a 90-day termination process. The facility is required to send a letter of Credible Allegation when they believe the non-compliance has been corrected and they want RCS to verify the compliance.

As of the date of this letter, RCS will require a POC for all new cited deficiencies at any level of citation recorded in the SOD.

Thank you for your continued commitment to resident health and safety. If you have any questions, please notify [Gerald Heilinger](#), RCS ICF/IID Field Manager, at 360-725-2484.

Sincerely,

Candace Goehring, Director
Residential Care Services

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